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Legal Division Pfizer Inc 10777 Science Center Drive

San Diego, CA 92121



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From: Elsa Djuardi	
Department Name: Legal Division	
Telephone: 858-638-6117	-
Date: February 20, 2006	<del></del> .

MESSAGE:

RE: U.S. Serial No. 09/942,431

Transmitted herewith are the following documents:

1. Revocation of Power of Attorney with New Power of Attorney and Change of Correspondence Address

1 page;

1 page;

2. Statement Under 37 C.F.R. §3.73

3. Copy of Notice of Recordation of Assignment and Assignment

11 pages;

4. Transmittal Letter

1 page; and

5. Total Fee Due \$0.00 Deposit Account.

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## BEST AVAILABLE COPY

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PC19336B

Revocation of Power of Attorney With New Power of Attorney, Change of Correspondence Address, Statement

I hereby certify that this correspondence is being Transmitted via facsimile to 571-273-8300: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on this 20th day of February 2006.

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Rachel Potash

FEB 2 0 2006

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: NATHANIEL MILTON, et al.

Group Art Unit: 1653

Serial No.: 09/942,431

Examiner: D. Lukton

Filed: August 29, 2001

FOR: ECHINOCANDIN PHARMACEUTICAL FORMULATIONS CONTAINING MICELLE-

FORMING SURFACTANTS

Commissioner For Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### TRANSMITTAL LETTER

Transmitted herewith are the following documents:

- Revocation of Power of Attorney with New Power of Attorney and Change of Correspondence Address
- 2. Statement Under 37 C.F.R. §3.73
- 3. Copy of Notice of Recordation of Assignment and Assignment
- 4. Transmittal Letter
- 5. Total Fee Due \$0.00

1 page;

1 page;

11 pages;

1 page; and

Deposit Account.

Respectfully submitted.

Date: Feb 20<sup>n</sup>'06

Elsa Djuardi

Agent For Applicants
Registration No. 45,963

Agouron Pharmaceuticals, Inc./A Pfizer Company Patent Department 10777 Science Center Drive San Diego, California 92121 Phone: (858) 638-6117

Phone: (858) 638-611 Fax: (858) 678-8233

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**REVOCATION OF POWER OF** ATTORNEY WITH **NEW POWER OF ATTORNEY** AND CHANGE OF CORRESPONDENCE ADDRESS

09/942,431 Filing Date 08/29/2001 First Named Inventor Nathaniel Milton Art Unit 1653 Examiner Name To be assigned Attorney Docket Number PC19336B

I hereby revoke all previous powers of attorney given in the above-identified application.						
A Power of Attorney is submitted herewith.						
<i>OR</i> ✓ I hereby ap	OR  I hereby appoint the practitioners associated with the Customer Number:  28940					]
Please change the correspondence address for the above-identified application to:						
	lress associated with er Number:	28	940	-		
OR						
Firm or Individual Na	me					
Address						
City		Star	te		Ζip	
Country			<del></del>			
Telephone			Fax			
I am the: Applicant/Inventor.						
Assignee of record of the entire interest. See 37 CFR 3.71.  Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)						
SIGNATURE of Applicant or Assignee of Record						
	Signature Loudes K. Moren					
Name	ame Douglas K. Norman					
Date	1-9206	<u> </u>	Telephone	3/7-2	<i>76-</i> 2958	
NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required, Submit multiple forms if more than one signature is required, see below.						е
Total of 1 forms are submitted.						

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including sythering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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From-pfizer la jolla

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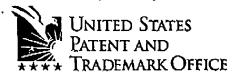
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid CMB control number. STATEMENT UNDER 37 CFR 3.73(b) Applicant/Patent Owner: Eli Lilly and Company Application No./Patent No.: 09/942,431 Filed/Issue Date: 08/29/2001 Entitled: ECHINOCANDIN PHARMACUETICAL FORMULATIONS CONTAINING MICELLE-FORMING SURFACTANTS Eli Lilly and Company Concention (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.) (Name of Assignce) states that it is: 1. the assignee of the entire right, title, and interest; or 2. an assigned of less than the entire right, title and interest. The extent (by percentage) of its ownership interest is in the patent application/patent identified above by virtue of either: A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 016296 \_, Frame <u>0062</u> thereof is attached. OR B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown 1. From: To: The document was recorded in the United States Patent and Trademark Office at , or for which a copy thereof is attached. . Frame To: The document was recorded in the United States Patent and Trademark Office at \_, Frame\_ \_ or for which a copy thereof is attached. To: 3. From: The document was recorded in the United States Patent and Trademark Office at \_ Frame \_ , or for which a copy thereof is attached. Additional documents in the chain of title are listed on a supplemental sheet. Copies of assignments or other documents in the chain of title are attached. [NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302,081 The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee. Printed or Typed Name

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Title



MORRISON & FOERSTER LLP

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RECORDATION DATE: 05/20/2002

REEL/FRAME: 012919/0516

NUMBER OF PAGES: 9

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

MILTON, NATHANIEL

DOC DATE: 04/08/2002

**ASSIGNOR:** 

MODER, KENNETH PHILIP

DOC DATE: 04/04/2002

ASSIGNOR:

SABATOWSKI, JAMES LAWRENCE

DOC DATE: 03/26/2002

ASSIGNOR:

SWEETANA, STEPHANIE ANN

DOC DATE: 05/01/2002

ASSIGNEE:

ELI LILLY AND COMPANY LILLY CORPORATE CENTER INDIANAPOLIS, INDIANA 46285

SERIAL NUMBER: 09942431

FILING DATE: 08/29/2001

PATENT NUMBER:

ISSUE DATE:

012919/0516 PAGE 2

MARY BENTON, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS Feb-20-06 11:14am From-pfizer la joila

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Patent and Trademark Office

FEB 2 0 2006 102103741	( Docket No. 342312003601
To the Commissioner of Patents and Trademarks: Pleas	se record the attached original documents or copy thereof.
1. Name of conveying party(ies): Nathaniel MILTON Kenneth Philip MODER James Lawrence SABATOWSK1 5 - 20 - つこ Stephanie Ann SWEETANA 5 - 20 - つこ Additional name(s) of conveying party(ies) attached? 口Yes 国No	2. Name and address of receiving party(ics)  Name: Eli Lilly and Company Internal Address: Street Address: Lilly Corporate Center City: Indianapolis, State: Indiana ZIP: 46285  Additional name(s) & address(cs) attached?
3. Nature of conveyance:  Assignment	Additional name(s) & address(es) attached? 口 Yes 图 No
4. Application number(s) or patent number(s):  If this document is being filed together with a new application, the case of	execution date of the application is:  B. Patent No.(s)
5. Name and address of party to whom correspondence concerning document should be mailed:  Madeline I. Johnston  Morrison & Foerster 11  755 Page Mill Road  Palo Alto, California 94304-1018	6. Total number of applications and patents involved: 1  7. Total fee (37 C.F.R. § 3.41): \$40.00  Enclosed  Authorized to be charged to deposit account, referencing Attorney Docket 342312003601
	8. Deposit account number: 03-1952
The Commissioner is hereby authorized to charge any fees under 37 C.F.R. § 1.21 that may	
	E THIS SPACE
Statement and signature.  To the best of my knowledge and belief, the foregoing information document.	is true and correct and any attached copy is a true copy of the original
Name: Madeline I. Johnston Registration No: 36,174 Signature	le fichustra 5/6/22
4/2002 DBYRNE 00000018 031952 Total number of pages comprising & 09942431	over sheet, attachments and document: 9
Commissioner of Pr Box As	th required cover sheet information to: atents and Trademarks ssignments on, D.C. 2023;
pa-690615	n, v

#### **ASSIGNMENT**

WHEREAS I, Nathaniel MILTON, residing at 6388 Kentstone Drive, Indianapolis, Indiana 46268, have made an invention which is the subject of an application for Letters Patent of the United States ('Application') entitled ECHINOCANDIN PHARMACEUTICAL FORMULATIONS CONTAINING MICELLE-FORMING SURFACTANTS, bearing Serial No. 09/942,431, and filed on August 29, 2001; and

WHEREAS ELT LILLY AND COMPANY, an Indiana corporation having its principal place of business at Lilly Corporate Center, Indianapolis, Indiana 46285, wishes to acquire the entire interest in all inventions disclosed in such Application;

NOW, THEREFORE, in consideration of good and valuable consideration, the receipt of which is hereby acknowledged, I hereby sell, assign, transfer and set over unto Eli Lilly and Company, its successors and assigns (collectively "Lilly") my entire right, title and interest in, to and under the Application, including all priority rights for other countries arising therefrom, all inventions therein disclosed, and any and all present or future patent applications to such inventions that may be filed in the United States or any foreign country, inclusive of, but not limited to, continuations, continuations—in-part, divisions, substitutions, reexaminations, reissues, international applications under the Patent Cooperation Treaty ("PCT"). United States provisional patent applications, certificates of addition, utility models, petty patents, as well as all other intellectual property related to the Application, inclusive of, but not limited to, supplementary protection certificates, copyrights, trademarks, and data package exclusivity rights; and any and all Letters Patent of the United States and of all foreign countries and all related patent term extensions which may be granted for Letters Patent with respect to the Application; all of the above to be held and enjoyed by Lilly for its own use and enjoyment to the full end of the term or terms for which such Letters Patent and related intellectual property rights may be granted, as fully and entirely as the same would have been held and enjoyed by me had this Assignment and sale to Lilly not been made.

For myself and for my heirs, successors and legal representatives, I covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Assignment.

will be made or entered into which would conflict with this Assignment.

For myself and for my heirs, successors and legal representatives, I further covenant and agree with Lilly that upon request I and they will, without further consideration than that now paid, but at the expense of Lilly: (i) execute original, provisional, substitute, continuation, divisional, continuation-in-part, reexamined, or reissued applications, amended specifications, or rightful declarations or oaths for such application; (ii) communicate to Lilly any facts known to me or them relating to such inventions or the history thereof; (iii) execute preliminary statements and testify in any interference proceedings, litigation discovery proceedings and depositions, oppositions, cancellation proceedings, priority contests, public use proceedings, administrative agency proceedings, litigation and other court actions and the like; (iv) execute and deliver any application papers, affidavits, declarations, assignments, or other instruments; and (v) do all other acts which, in the opinion of counsel for Lilly, may be necessary or desirable to secure the grant of Letters Patent and related intellectual property to Lilly or its nominees, in the United States and in all other countries where Lilly may desire to have such inventions, or any of them, patented, with specifications and claims in such form as shall be approved by counsel for Lilly and to vest and confirm in Lilly or its nominees the full and complete legal and equitable title to all such Letters Patent and related intellectual property.

IN WITNESS WHEREOF I have executed this assignment on the date indicated below.

April 8, 2002

Nathaniel Milton

STATE OF INDIANA

COUNTY OF Marion

Before me, a Notary Public for ( ) ario County, State of Indiana, personally appeared Nathaniel Wilton and atknowledged the execution of the foregoing instrument this \_\_\_\_\_ day of \_\_\_\_\_\_, 2002.

My commission expires:

Cheryl A. Karres, Notary Public Resident of Johnson County My Commission Expires; May 10, 2007

#### ASSIGNMENT

WHEREAS I, Kenneth Philip MODER, residing at 160 Drury Lane, West Lafayette, Indiana 47906, have made an invention which is the subject of an application for Letters Patent of the United States ("Application") entitled ECHINOCANDIN PHARMACEUTICAL FORMULATIONS CONTAINING MICELLE-FORMING SURFACTANTS, bearing Serial No. 09/942,431, and filed on August 29, 2001; and

WHEREAS ELI LILLY AND COMPANY, an Indiana corporation having its principal place of business at Lilly Corporate Center, Indianapolis, Indiana 46285, wishes to acquire the entire interest in all inventions disclosed in such Application:

NOW, THEREFORE, in consideration of good and valuable consideration, the receipt of which is hereby acknowledged. I hereby sell, assign, transfer and set over unto Eli Lilly and Company, its successors and assigns (collectively "Lilly") my entire right, title and interest in, to and under the Application, including all priority rights for other countries arising therefrom, all inventions therein disclosed, and any and all present or future patent applications to such inventions that may be filed in the United States or any foreign country, inclusive of, but not continuations, continuations-in-part, divisions, limited to, substitutions, reexaminations, reissues, international applications under the Patent Cooperation Treaty ("PCT"), United States provisional patent applications, certificates of addition, utility models, petty patents, as well as all other intellectual property related to the Application, inclusive of, but not limited to, supplementary protection certificates, copyrights, trademarks, and data package exclusivity rights; and any and all Letters Patent of the United States and of all foreign countries and all related patent term extensions which may be granted for Letters Patent with respect to the Application; all of the above to be held and enjoyed by Lilly for its own use and enjoyment to the full end of the term or terms for which such Letters Patent and related intellectual property rights may be granted, as fully and entirely as the same would have been held and enjoyed by me had this Assignment and sale to Lilly not been mađe.

For myself and for my heirs, successors and legal representatives, I covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Assignment.

For myself and for my heirs, successors and legal representatives, I further covenant and agree with Lilly that upon request I and they will; without further consideration than that now paid, but at the expense of Lilly: (i) execute original, provisional, substitute, continuation; divisional, continuation-in-part, reexamined, or reissued applications, amended specifications, or rightful declarations or oaths for such application; (ii) communicate to Lilly any facts known to me or them relating to such inventions or the history thereof; (iii) execute preliminary statements and testify in any interference proceedings; litigation discovery proceedings and depositions, oppositions; cancellation proceedings, priority contests, public use proceedings; administrative agency proceedings, litigation and other court actions and the like; (iv) execute and deliver any application papers, affidavits; declarations, assignments, or other instruments; and (v) do all other acts which, in the opinion of counsel for Lilly, may be necessary or desirable to secure the grant of Letters Patent and related intellectual property to Lilly or its nominees, in the United States and in all other countries where Lilly may desire to have such inventions, or any of them, patented; with specifications and claims in such form as shall be approved by counsel for Lilly and to vest and confirm in Lilly or its nominees the full and complete legal and equitable title to all such Letters Patent and related intellectual property.

IN WITNESS WHEREOF I have executed this assignment on the date

4402

indicated below.

Date

Kenneth Philip Moder

STATE OF INDIANA

COUNTY OF TIPPECANDE

Before me, a Notary Public for TIPPECAMOE County, State of Indiana,

personally appeared Kenneth Philip Moder and acknowledged the execution of the foregoing instrument this 47% day of 4981L , 2002.

My commission expires:

01/28/09

#### ASSIGNMENT

WHEREAS I, James Lawrence Sabatowski, residing at 243 Sandcastle Drive, Holland, Michigan 49424, have made an invention which is the subject of an application for Letters Patent of the United States ("Application") entitled ECHINOCANDIN PHARMACEUTICAL FORMULATIONS CONTAINING MICELLE-FORMING SURFACTANTS, bearing Serial No. 09/942,431, and filed on August 29, 2001; and

WHEREAS ELI LILLY AND COMPANY, an Indiana corporation having its principal place of business at Lilly Corporate Center, Indianapolis; Indiana 46285, wishes to acquire the entire interest in all inventions disclosed in such Application;

NOW, THEREFORE, in consideration of good and valuable consideration; the receipt of which is hereby acknowledged, I hereby sell, assign, transfer and set over unto Eli Lilly and Company, its successors and assigns (collectively "Lilly") my entire right, title and interest in, to and under the Application, including all priority rights for other countries arising therefrom, all inventions therein disclosed, and any and all present or future patent applications to such inventions that may be filed in the United States or any foreign country, inclusive of, but not limited to, continuations, continuations-in-part, divisions; substitutions, reexaminations, reissucs, international applications under the Patent Cooperation Treaty ("PCT"), United States provisional patent applications, certificates of addition, utility models, petty patents, as well as all other intellectual property related to the Application; inclusive of, but not limited to, supplementary protection certificates, copyrights, trademarks, and data package exclusivity rights; and any and all Letters Patent of the United States and of all foreign countries and all related patent term extensions which may be granted for Letters Patent with respect to the Application; all of the above to be held and enjoyed by Lilly for its own use and enjoyment to the full end of the term or terms for which such Letters Patent and related intellectual property rights may be granted, as fully and entirely as the same would have been held and enjoyed by me had this Assignment and sale to Lilly not been

For myself and for my heirs, successors and legal representatives, I covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Assignment.

For myself and for my heirs, successors and legal representatives, I further covenant and agree with Lilly that upon request I and they will; without further consideration than that now paid, but at the expense of Lilly: (i) execute original, provisional, substitute, continuation, divisional, continuation-in-part, reexamined, or reissued applications, amended specifications, or rightful declarations or oaths for such application; (ii) communicate to Lilly any facts known to me or them relating to such inventions or the history thereof; (iii) execute preliminary statements and testify in any interference proceedings; litigation discovery proceedings and depositions, oppositions, cancellation proceedings, priority contests, public use proceedings, administrative agency proceedings, litigation and other court actions and the like; (iv) execute and deliver any application papers, affidavits, declarations, assignments, or other instruments; and (v) do all other acts which, in the opinion of counsel for Lilly, may be necessary or desirable to secure the grant of Letters Patent and related intellectual property to Lilly or its nominees, in the United States and in all other countries where Lilly may desire to have such inventions, or any of them, patented; with specifications and claims in such form as shall be approved by counsel for Lilly and to vest and confirm in Lilly or its nominees the full and complete legal and equitable title to all such Letters Patent and related intellectual property.

7

IN WITNESS WHEREOF I have executed this assignment on the date indicated below.

3/26/02\_

dames Lawrence Sabatowski

STATE OF MICHIGAN

COUNTY OF Allecter

Before me, a Notary Public for HUUL County, State of Indiana, personally appeared James Lawrence Sabatowski and acknowledged the execution of the foregoing instrument this 20 day of MULC 2002.

My commission expires:

Notary Public

#### ASSIGNMENT

WHEREAS I, Stephanie Ann SWEETANA, residing at 4112 Saratoga Drive, Bloomington, Indiana 47408, have made an invention which is the subject of an application for Letters Patent of the United States ("Application") entitled ECHINOCANDIN PHARMACEUTICAL FORMULATIONS CONTAINING MICELLE-FORMING SURFACTANTS, bearing Serial No. 09/942,431, and filed on August 29, 2001; and

WHEREAS ELI LILLY AND COMPANY, an Indiana corporation having its principal place of business at Lilly Corporate Center, Indianapolis, Indiana 46285, wishes to acquire the entire interest in all inventions disclosed in such Application;

NOW, THEREFORE, in consideration of good and valuable consideration, the receipt of which is hereby acknowledged, I hereby sell, assign, transfer and set over unto Eli Lilly and Company, its successors and assigns (collectively "Lilly") my entire right, title and interest in, to and under the Application, including all priority rights for other countries arising therefrom, all inventions therein disclosed, and any and all present or future patent applications to such inventions that may be filed in the United States or any foreign country, inclusive of, but not limited to, continuations, continuations—in—part, divisions, substitutions, reexaminations, reissues, international applications under the Fatent Cooperation Treaty ("PCT"), United States provisional patent applications, certificates of addition, utility models, petty patents, as well as all other intellectual property related to the Application, inclusive of, but not limited to, supplementary protection certificates, copyrights, trademarks, and data package exclusivity rights; and any and all Letters Patent of the United States and of all foreign countries and all related patent term extensions which may be granted for Letters Patent with respect to the Application; all of the above to be held and enjoyed by Lilly for its own use and enjoyment to the full end of the term or terms for which such Letters Patent and related intellectual property rights may be granted, as fully and entirely as the same would have been held and enjoyed by me had this Assignment and sale to Lilly not been made.

For myself and for my heirs, successors and legal representatives. I covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Assignment.

For myself and for my heirs, successors and legal representatives, I further covenant and agree with Lilly that upon request I and they will, without further consideration than that now paid, but at the expense of Lilly: (i) execute original, provisional, substitute, continuation, divisional, continuation-in-part, reexamined, or reissued applications, amended specifications, or rightful declarations or oaths for such application; (ii) communicate to Lilly any facts known to me or them relating to such inventions or the history thereof; (iii) execute preliminary statements and testify in any interference proceedings, litigation discovery proceedings and depositions, oppositions, cancellation proceedings, priority contests, public use proceedings, administrative agency proceedings, litigation and other court actions and the like; (iv) execute and deliver any application papers, affidavits, declarations, assignments, or other instruments; and (v) do all other acts which, in the opinion of counsel for Lilly, may be necessary or desirable to secure the grant of Letters Patent and related intellectual property to Lilly or its nominees, in the United States and in all other countries where Lilly may desire to have such inventions, or any of them, patented, with specifications and claims in such form as shall be approved by counsel for Lilly and to vest and confirm in Lilly or its nominees the full and complete legal and equitable title to all such Letters Patent and related intellectual property.

+8586788233

T-478 P.015/015 F-327

Attorney Docket No. 342312003601

IN WITNESS WHEREOF I have executed this assignment on the date indicated below.

1 MAy 12

Stephanie Ann Sweetana

STATE OF INDIANA

COUNTY OF MARION

ss:

Before me, a Notary Public for Johnson County, State of Indiana, personally appeared Stephanie Ann Sweetana and acknowledged the execution of the foregoing instrument this 15th day of 1002.

My commission expires:

Aurber 24, 2009

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